FORM ADV PART 2B
BROCHURE SUPPLEMENT

Office Address:
5391 Lakewood Ranch Blvd N
Suite 303
Sarasota, FL 34240

Tel: 941-753-3814
Fax: 941-755-7055

Website:
www.Truvestments.com

This brochure provides information about the qualifications and business practices of Truvestments Capital LLC. Being registered as a registered investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at 941-753-3814. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Truvestments Capital LLC (IARD#291297) is available on the SEC's website at www.adviserinfo.sec.gov

October 2024

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

Mathew Manning

Home Office
5391 Lakewood Ranch Blvd N
Suite 303
Sarasota, FL 34240
Phone: 941-753-3814

Branch Office
175 Olde Half Day Road
Suite 290
Lincolnshire, IL 60069
Phone: 847-459-8850

mathew@truvestments.com

www.Truvestments.com

September 2023

This brochure supplement provides information about Mathew Manning and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Mathew Manning if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Mathew Manning (CRD# 6826562) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Mathew Manning
Year of birth: 1994

Item 2 - Educational Background and Business Experience
Educational Background:

- Michigan State University; Bachelor in Accounting
- Michigan State University; Master in Accounting

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 09/2023 – Present
- Purshe Kaplan Sterling Investments; Registered Representative; 09/2023 – Present
- Manning, Silverman & Company; Tax & Accounting; 06/2010 - Present
- Cetera Financial Specialist LLC; Registered Representative; 09/2020 – 09/2023
- Cetera Investment Advisers LLC; Investment Advisor Representative; 01/2021 – 09/2023

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Mathew Manning has outside business activities as follows:

- Manning, Silverman & Company – DBA for Investment Business
- Registered Representative - PKS

More than 50% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Mathew Manning an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Mathew Manning for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Mathew Manning receives additional compensation from the above activities but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Mathew Manning. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

Philip T. Quigley

Home Office
5391 Lakewood Ranch Blvd N
Suite 303
Sarasota, FL 34240

Branch Office
1930 Park Meadows Dr, Suite 2
Fort Myers, FL 33907

Phone: 941-753-3814
www.Truvestments.com

November 9, 2022

This brochure supplement provides information about Philip T. Quigley and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Philip T. Quigley if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Philip T. Quigley (CRD# 2015768) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Philip T. Quigley
Year of birth: 1967

Item 2 - Educational Background and Business Experience
Educational Background:

- Monmouth College, 1986-1987

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 11/2022 – Present
- Brokers Financial; Investment Advisor Representative; 01/2008 – 11/2022
- Brokers International Financial Services, LLC; Registered Representative; 01/2008 – 11/2022

CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

• Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

• Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and Client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

• Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

• Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

• Continuing Education – Complete 30 hours of continuing education hours every two years,

including two hours on the *Code of Ethics* and other parts of the Standards *of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

• Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct.* The *Standards* prominently require that CFP® professionals provide *financial* planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Philip T. Quigley has outside business activities as follows:

- Licensed Insurance Agent
- Owner of Real Estate Property

Approximately 10% of their time is spent on the above practices and from time to time, may offer Clients services from these activities.

These practices represent conflicts of interest because it gives Philip T. Quigley an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Philip T. Quigley for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Philip T. Quigley receives commissions on insurance sales but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Philip T. Quigley. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

Shane Swearingen
6179 Memorial Drive
Dublin, OH 43017

Telephone: 614-570-9766

Truvestments Capital LLC

5391 LAKEWOOD RANCH BLVD
SUITE #303
SARASOTA, FL 34240

Telephone: 941-753-3814
Facsimile: 941-755-7055

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Shane Swearingen that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Shane Swearingen (CRD#6112288) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Shane Swearingen
Year of Birth: 1987
Formal Education after High School:

- College of Wooster - Bachlor's Degree in Philosophy

Business Background for Previous Five Years:

- Truvestments Capital LLC, Investment Adviser Representative, 10/2018 - Present
- Shane & Shane Financial, Partner/Owner, 9/2014 - Present
- Oneamerica Securities, Registered Representative, 9/2012 - 9/2014
- American United Life, Agent, 11/2011 - 9/2014

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attaiment, designation, or license. Mr. Shane Swearingen has no required disclosures under this item.

Item 4 Other Business Activities

Shane Swearingen has an ownership interest in Shane & Shane Financial, a licensed insurance agency, and is also separately licensed as an independent insurance agent. In this capacity, Mr. Swearingen may effect transactions in insurance products for clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Shane & Shane Financial and/or Mr. Swearingen for insurance related activities. This presents a conflict of interest because Mr. Swearingen may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Swearingen's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Truvestments Capital LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO
Supervisor's phone number: 941-753-3814

Michael Wayne Williams

Truvestments Capital LLC

5391 LAKEWOOD RANCH BLVD
SUITE #303
SARASOTA, FL 34240

Telephone: 941-753-3814
Facsimile: 941-755-7055

August 7, 2019

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Michael Wayne Williams that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Wayne Williams (CRD# 721975) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Michael Wayne Williams
Year of birth: 1960
Formal Education After High School:

- Executive Courses on Investment LBO Valuations, Wharton - 1985

Business Background for Previous Five Years:

- Truvestments Capital LLC, Managing Partner/Investment Adviser Representative, 07/2017 - Present
- Insight Folios, Manager, 01/2017 - 08/2017

Item 3 Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4 Other Business Activities

Michael Wayne Williams is a Managing Member of Advisor Revolution LLC which operates under the publisher's exemption as a marketing program to other advisory firms on the topic of demogronomics. There are no commissions created by this service.

Item 5 Additional Compensation

Michael Wayne Williams does not receive any economic benefit from any person, company, or organization, other than Truvestments Capital LLC in exchange for providing clients advisory services through Truvestments Capital LLC.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Kaleb D. McCarty, Managing Partner
Supervisor phone number: 941-753-3814

Nicolas Anthony Ranocchia

Truvestments Capital LLC

5391 Lakewood Ranch Blvd. N
Suite #303
Sarasota, FL 34240

Telephone: 941-753-3814

Truvestments Capital LLC

7747 Mitchell Boulevard
Suite A
Trinity, FL 34655

Telephone: **727-683-9119**

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Nicolas Anthony Ranocchia that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Nicolas Anthony Ranocchia (CRD # 6990623) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Nicolas Anthony Ranocchia
Year of Birth: 1996
Formal Education After High School:

- University of South Florida, BS Finance, 8/2016 - 6/2018

Business Background:

- Truvestments Capital LLC, Investment Advisor Representative, 1/2019 - Present
- Rizzotto Tax Advisory Group, Tax Advisor, 6/2018 - Present
- Rizzotto Tax Advisory Group, Advisor's Assistant, 10/2016 - 6/2018
- Wells Fargo, Teller, 9/2015 - 11/2016

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Nicolas Anthony Ranocchia has no required disclosures under this item.

Item 4 Other Business Activities

Nicolas Anthony Ranocchia is separately licensed as an independent insurance agent**.** In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Ranocchia for insurance related activities. This presents a conflict of interest because Mr. Ranocchia may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Additionally, Mr. Ranocchia is a Tax Advisor with Rizzotto Tax Advisory Group, an unaffiliated tax advisory firm. Mr. Ranocchia's duties as a Tax Advisor with Rizzotto Tax Advisory Group does not create a conflict of interest to his provision of advisory services through Truvestments Capital LLC.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Ranocchia's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Truvestments Capital LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

Kaleb D. McCarty
5391 Lakewood Ranch Blvd N., Suite 303
Sarasota FL 34202

Truvestments Capital LLC

5391 Lakewood Ranch Blvd N
Suite #303
Sarasota, Florida 34240

Telephone: 941-955-1982
Facsimile: 941-755-7055

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Kaleb McCarty that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Kaleb D. McCarty (CRD # 6546250) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Kaleb D. McCarty
Year of Birth: 1981
Formal Education after High School:

- University of Florida, BS Finance, 5/2003

Business Background for Previous Five Years:

- Truvestments Capital LLC, Managing Partner/Investment Adviser Representative, 8/2015 - Present
- Elite Wealth Advisors LLC, Owner/CEO, 10/2013 - Present
- McCarty Marketing, Inc., Owner, 1/2000 - Present
- Aviva USA , VP of Sales, 4/2008 - 10/2013
- USA Tax Advisors, 5/2003 - 4/2008

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. McCarty has no required disclosures under this item.

Item 4 Other Business Activities

Kaleb D. McCarty has an ownership interest in Elite Wealth Advisors LLC, a licensed insurance agency, and is also separately licensed as an independent insurance agent. In this capacity, Mr. McCarty may effect transactions in insurance products for clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Elite Wealth Advisors LLC and/or Mr. McCarty for insurance related activities. This presents a conflict of interest because Mr. McCarty may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Elite Wealth Advisors LLC is also a wholesale distributor of fixed annuities and life insurance products. Mr. McCarty's duties as the Owner/CEO of Elite Wealth Advisors LLC does not create a conflict of interest to his provision of advisory services through Truvestments Capital LLC.

Additionally, Mr. McCarty is the owner of Funderfull LLC, a Florida business consulting firm. Mr. McCarty’s duties as the owner of Funderfull LLC does not create a conflict of interest to his provision of advisory services through Truvestments Capital LLC.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. McCarty's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Truvestments Capital LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

Ronald John Lumley, CFP®, CLU, ChFC

Truvestments Capital LLC

5391 Lakewood Ranch Blvd. N
Suite #303
Sarasota, FL 34240

Telephone: 941-753-3814

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Ronald John Lumley that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Please contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ronald John Lumley is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Ronald John Lumley, CFP®, CLU, ChFC
Year of Birth: 1958
Formal Education after High School:

- The American College, Chartered Financial Consultant, 2002
- College of Financial Planning, Certified Financial Planner, 1991.
- Shippensburg University, B.S., Business Management, 1981.

Business Background for the Previous Five Years:

- Truvestments Capital LLC; Investment Advisor Representative; 02/2018 - Present
- APW Capital (formerly Comprehensive Asset Management and Servicing, Inc.), Registered Representative, 02/2009 – 10/2020
- Skybox Financial Group, Inc., President, 12/2008 – 02/2018

Certifications:
Certified Financial Planner – 1991.
The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Life Underwriter ['CLU'] – 2008.
This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take a series of mandatory courses which include, for example, the following: insurance planning, life insurance law, fundamentals of estate planning, planning for business owners, income taxation, group benefits, planning for retirement needs, and investments. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

Chartered Financial Consultant ['ChFC'] – 2002.
This designation is issued by The American College and is granted to individuals who have at least three years of full-time business experience within the five years preceding the awarding of the designation. The candidate is required to take seven mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and application of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.

Item 3 Disciplinary Information

Mr. Lumley does not have, nor has he ever had, any disciplinary disclosure.

Item 4 Other Business Activities

Licensed Insurance Agent
Mr. Lumley is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Lumley for insurance related activities. This presents a conflict of interest because Mr. Lumley may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Lumley's receipt of additional compensation as a result of his activities as a registered representative Comprehensive and licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other*

Compensation section of Truvestments Capital LLC’s firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

John Kostaken

Truvestments Capital LLC

5391 LAKEWOOD RANCH BLVD
SUITE #303
SARASOTA, FL 34240

Telephone: 941-753-3814

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about John Kostaken that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John Kostaken (CRD # 7070536) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

John Kostaken
Year of Birth: 1986
Formal Education After High School:

- DePaul University, BS Physics, Mathematics, 9/2004 - 11/2009

Business Background:

- Truvestments Capital LLC, Investment Adviser Representative, 2/2019 - Present
- Demogronomics, Associate, 3/2015 - Present
- Self Employed, Private Tutor, 10/2014 - 2/2015
- The NPD Group, Operations Associate, 6/2014 - 9/2014

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. John Kostaken has no required disclosures under this item.

Item 4 Other Business Activities

John Kostaken is an Associate of Demongronomics, a marketing consulting firm for investment advisory firms. Mr. Kostaken's duties as an Associate of Demongronomics do not create a conflict of interest to his provision of advisory services through Truvestments Capital LLC.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Kostaken's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Truvestments Capital LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

Danny Cory Howes

Truvestments Capital LLC

5391 LAKEWOOD RANCH BLVD
SUITE #303
SARASOTA, FL 34240

Telephone: 941-753-3814

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Danny Cory Howes that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Danny Cory Howes (CRD # 5481834) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Danny Cory Howes
Year of Birth: 1977
Formal Education After High School:

- Florida Institute of Tech, BS in Accounting, 2012

Business Background:

- Truvestments Capital LLC, Investment Adviser Representative, 01/2020 - Present
- East Coast Tax and Financial Planning, CEO, 6/2014 – Present
- East Coast Tax and Financial Planning, Investment Advisor Representative, 01/2016 – 11/2020
- Motiv8 Investments LLC, Investment Adviser Representative, 3/2017 - 12/2017
- McDermott Investment Services, Registered Representative, 11/2014 - 1/2016
- Terrio Tax Advisory/Terrio Group, CCO/Accountant/Tax Preparer, 7/2012 - 6/2015
- Valmark Advisers, Inc., Investment Adviser Representative, 10/2010 – 07/2012

Certifications: **Enrolled Agent (EA)**
An enrolled agent is a federally licensed tax practitioner who has unlimited rights to represent taxpayers before the IRS. Enrolled agents are licensed by the IRS. To become an EA, a candidate must pass a three-part comprehensive examination (Individuals, Businesses and Representation, Practice, and Procedure) which covers all aspects of the tax code or have worked at the IRS for five years in a position where they were required to interpret and apply the tax code on an ongoing basis as part of their job. All EA candidates must pass a background check conducted by the IRS which includes looking into their personal tax history. The IRS requires enrolled agents to complete 72 hours of continuing education every three years in order to maintain their active enrolled agent license and practice rights.

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Danny Cory Howes has no required disclosures under this item.

Item 4 Other Business Activities

Danny Cory Howes is the CEO and insurance agent with East Coast Tax and Financial Planning, a licensed insurance agency and full service accounting firm. If suitable for your needs, you may be referred to East Coast Tax and Financial Planning. Mr. Howes may be paid a salary or be entitled to certain distributions. Therefore a conflict of interest may exist because Mr. Howes may have an incentive to recommend East Coast Tax and Financial Planning to you as a result of his position and interests with the company.

If you utilize the advisory services of Mr. Howes through East Coast Tax and Financial Planning, he may receive additional fees or other compensation. These fees would be in addition to any fees charged for the advisory services provided through Truvestments Capital LLC. You are under no obligation, contractually or otherwise, to purchase products or services through East Coast Tax and Financial Planning.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Howes's receipt of

additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation*, *Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Truvestments Capital LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

Shane R. Eighme

Truvestments Capital LLC

5391 LAKEWOOD RANCH BLVD
SUITE #303
SARASOTA, FL 34240

Telephone: 941-753-3814

May 5, 2021

FORM ADV PART 2B
BROCHURE SUPPLEMENT

This brochure supplement provides information about Shane R. Eighme that supplements the Truvestments Capital LLC brochure. You should have received a copy of that brochure. Contact us at 941-753-3814 if you did not receive Truvestments Capital LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Shane R. Eighme (CRD # 4210235) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Shane R. Eighme
Year of Birth: 1976
Formal Education After High School:

- Franklin University, BS in Financial Management, Minor in International Business

Business Background:

- Truvestments Capital LLC, Investment Adviser Representative, 10/2019 - Present
- OneAmerica Securities, Registered Representative, 10/2013 - 9/2019
- American United Life, Agent, 9/2013 - 9/2019
- The Leaders Group, Inc., Registered Representative, 10/2012 - 9/2013
- Advisors Resource, LLC, Regional Vice President, 6/2012 - 9/2013

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Shane R. Eighme has no required disclosures under this item.

Item 4 Other Business Activities

Shane R. Eighme is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative of Truvestments Capital LLC. Moreover, Mr. Eighme does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

Item 5 Additional Compensation

Shane R. Eighme does not receive any additional compensation beyond that received as an Investment Adviser Representative of Truvestments Capital LLC.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Truvestments Capital LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Williams, CCO

Supervisor's phone number: 941-753-3814

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

Kevin McEnerney

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5391 Lakewood Ranch Blvd N
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Branch Office
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Melbourne, FL 32940
Phone: 321-259-9335

Kevin@mcenerneytag.com

www.Truvestments.com

July 2024

This brochure supplement provides information about Kevin McEnerney and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Kevin McEnerney if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Kevin McEnerney (CRD# 1052957) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Kevin McEnerney
Year of birth: 1958

Item 2 - Educational Background and Business Experience
Educational Background:

- Bowling Green State University; Bachelor of Science Degree;1980

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 03/2020 – Present
- The McEnerney Group, LLC; Investment Advisor Representative; 11/2006 – Present
- McEnerney Tax Advisory Group; President; 01/2003 – Present
- Lynch Tax Advisory Group; President; 05/2013 – Present

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: On August 2nd, 2017, Kevin McEnerney was named in an administrative action by the Florida Office of Financial Regulation for failing to disclose the Final Orders from April and November 2012 related to maintaining accurate Form ADV and U4 filings; maintaining a minimum net capital requirement; following appropriate supervisory procedures; and maintaining advisory contracts. This matter was resolved on August 10th, 2017. Additional information can be found in Kevin McEnerney's Form U4.
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Kevin McEnerney has outside business activities as follows:

- The McEnerney Group, LLC – Investment Advisory Firm
- McEnerney Tax Advisory Group – Insurance Services
- Lynch Tax Advisory Group – Tax Preparation

Approximately 50% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Kevin McEnerney an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Kevin McEnerney for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Kevin McEnerney receives additional compensation from the above activities but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Kevin McEnerney. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

TRU VESTMENTS

Daniel Korth

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205 Refugio Place
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Coppell, TX 75019

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Phone: 209-602-2938
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Website: www.Truvestments.com

January 2024

This brochure supplement provides information about Daniel Korth and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Daniel Korth if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Korth (CRD# 2308456) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Daniel Korth
Year of birth: 1965

Item 2 - Educational Background and Business Experience
Educational Background:

- University of Wisconsin-Stout; Bachelor of Psychology; 1988

Business Experience:

- Truvestments Capital LLC DBA Lily Wealth Management; Investment Advisor Representative; 01/2024 – Present
- Prosperity Financial Group, Inc.; Investment Advisor Representative; 11/2016 – 01/2024
- World Equity Group, Inc.; Registered Representative; 02/2009 – 11/2016

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Daniel Korth has outside business activities as follows:

- Insurance Agent
- Trust Services – Referral Source to Various Attorneys

Approximately 25% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Daniel Korth an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Daniel Korth for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Daniel Korth receives additional compensation from the above activities but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Daniel Korth. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

Bryon Spicer

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bryon@truvestments.com

www.Truvestments.com

July 2024

This brochure supplement provides information about Bryon Spicer and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Bryon Spicer if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Bryon Spicer (CRD# 2958719) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Bryon Spicer
Year of birth: 1957

Item 2 - Educational Background and Business Experience
Educational Background:

- Wright State University - Accounting

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 07/2024 – Present
- Spicer Wealth Management; Investment Advisor Representative; 03/2010 – Present
- Spicer Financial Services; Owner; 07/2000 – Present
- Luminist Capital, LLC; Investment Advisor Representative; 11/2018 – 07/2024

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Bryon Spicer has outside business activities as follows:

- Spicer Wealth Management – Investment Advisor Representative
- Spicer Financial Services – Owner/Insurance/Tax Prep

Approximately 10% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Bryon Spicer an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Bryon Spicer for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Bryon Spicer receives additional compensation from the above activities but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Bryon Spicer. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

Donald Safstrom

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1201 Second Ave.
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Seattle, WA 98101

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November 2024

This brochure supplement provides information about Donald Safstrom and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Donald Safstrom if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Donald Safstrom (CRD# 2955281) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Donald Safstrom
Year of birth: 1953

Item 2 - Educational Background and Business Experience
Educational Background:

- Seattle Pacific College; Bachelor's Degree in Business Administration/Accounting & History, 1976

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 08/2024 – Present
- Safstrom CPAs & Advisors, PLLA; President/CPA; 01/2024 – Present
- Safstrom & Company, PS; President/CPA; 12/1982 – 12/2023
- Ameriprise Financial Advisors Inc.; Registered Representative/Investment Advisor Representative; 11/2017 – 02/2022

Professional Designations:

- CFP® - Certified Financial Planner
- PFS® - Personal Financial Specialist
- APMA® - Accredited Portfolio Management Advisor

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Donald Safstrom has outside business activities as follows:

- Safstrom CPAs & Advisors, PLLC
- Puget Sound Realty Advisors, LLC

Approximately 50% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Donald Safstrom an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Donald Safstrom for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Donald Safstrom receives additional compensation from the above activities but does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Donald Safstrom. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.

SUPERVISED PERSON BROCHURE
Part 2B of Form ADV

TRU VESTMENTS

Wendy Chambers

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October 2024

This brochure supplement provides information about Wendy Chambers and supplements the Truvestments Capital, LLC ("Tru") brochure. You should have received a copy of that brochure. Please contact Wendy Chambers if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Wendy Chambers (CRD# 7983865) is also available on the SEC's website at www.adviserinfo.sec.gov.

Supervised Person Brochure
Wendy Chambers
Year of birth: 1980

Item 2 - Educational Background and Business Experience
Educational Background:

- Western Washington University; BA Accounting; 2003

Business Experience:

- Truvestments Capital LLC; Investment Advisor Representative; 08/2024 – Present
- Safstrom CPAs & Advisors, PLLA; Tax Manager; 01/2023 – Present
- Larson Gross; Tax Manager; 01/2003 – 01/2023

Item 3 - Disciplinary Information
Criminal or Civil Action: None to report
Administrative Proceeding: None to report
Self-Regulatory Proceeding: None to report

Item 4 - Other Business Activities Engaged In
Wendy Chambers has outside business activities as follows:

- Safstrom CPAs & Advisors, PLLC

Approximately 50% of their time is spent on the above practices and from time to time, may offer Clients services from these activities. These practices represent conflicts of interest because it gives Wendy Chambers an incentive to recommend products and or services based on the commission or fee amount received. This conflict is mitigated by disclosures, procedures and Tru's fiduciary obligation to place the best interest of the Client first. Moreover, Clients are not required to purchase or engage Wendy Chambers for any products or services offered as Clients have the option to purchase them through another person or entity of their choosing.

Item 5 - Additional Compensation
Wendy Chambers receives additional compensation from the above activities but does not receive any performance-based fees. She does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 - Supervision
The Chief Compliance Officer of Tru supervises and monitors the advisory services of Wendy Chambers. The Chief Compliance Officer, Mike Williams can be reached at MIKE@TRUVESTMENTS.COM or 941-753-3814.